

LEATHES PRIOR  
TERMS OF ENGAGEMENT

LEATHES PRIOR  

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SOLICITORS

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Norwich  
Norfolk  
NR1 4DR

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[www.leathesprior.co.uk](http://www.leathesprior.co.uk)

These are the Terms of Engagement between us and you during your matter when you instruct us.

## 1. Contacting us

Our reception is open from 8.30am to 5.30pm Monday to Friday. Arrangements can be made to see clients outside these hours if necessary; please speak to the individual dealing with your matter if you wish to do so.

## 2. Payments on account

When taking your initial instructions we normally request a payment on account for fees and expenses to be incurred. This amount will be agreed with you before work commences. We may ask you to make further, similar payments as your matter progresses. Some clients prefer to pay regular instalments in order to limit the amount owed to us at any one time; such payments can be made by standing order. Work on your behalf cannot commence until a requested payment on account has been received.

Where we are in possession of any monies due to you, including circumstances such as when money is paid by a third party to our client account for damages or in satisfaction of a debt due to you, we will be entitled to deduct any costs, disbursements and expenses owed in respect of the relevant matter or any other matter of yours from such funds, and your acceptance of these Terms of Engagement shall be treated as sufficient authority for us to do so. The SRA Accounts Rules require us to take such payment for our bills within 14 days of the bill, unless that money is held for any other purpose.

## 3. Fees

We will do our best to give you an estimate (based on the information available to us at the time) of what the charges for the work are likely to amount to, including fees, vat and disbursements. Where it is not possible to give you an estimate immediately, we will give you best possible information on costs until we are in a position to give you an estimate of the fees involved. In these circumstances you may wish to suggest a costs ceiling which we will not exceed without your further instructions.

An estimate is not the same as a fixed fee and is liable to change. In some cases we might be able to agree a fixed fee, in which case you will be charged that amount for the fixed fee work as defined in your engagement letter. Any work which is different from or supplemental to the fixed fee work will be charged in addition to the fixed fee. Where possible, we will notify you in advance of what this will involve and any different terms that might apply to such work, as well as the fees we would intend to charge. Our fees (including fixed pricing) are calculated mainly by reference to the time that is spent in dealing with your instructions. As fixed prices are set at the outset, these are based on our experience of similar types of work. Fixed pricing gives you certainty at the outset as to the amount that you will pay for the work that you instruct us to do.

Where we are charging on an hourly rate, different hourly rates may be charged for different types of work and according to the seniority of the person who handles it for you. Time spent on dealing with your instructions will include, but is not limited to: meetings with you (and perhaps others), negotiating with others on your behalf in meetings, by letter, email, fax and by telephone, considering, preparing and working on papers, deeds etc., preparing for Court or Tribunal hearings, including travelling and waiting time, instructing third parties on your behalf, legal and factual research, correspondence and communications sent and received, making and receiving telephone calls and preparing detailed costs calculations. Where we are acting for you on an hourly rate, time is generally recorded in 'units' of 6 minutes (and any time recorded is rounded up to the nearest whole unit) for all work undertaken on your behalf. We will then charge you for an appropriate amount of the time recorded in relation to your matter.

Sometimes, where the nature of the work warrants a different basis for charging, we may calculate our fixed price or estimate and subsequent fees by taking into account additional factors other than time spent. Such factors may include the complexity of the issues, the speed at which action must be taken, the expertise or specialist knowledge which the case requires or, if appropriate, the value of the property or subject matter involved. In such cases the basis of our charges will be made clear to you at the outset or when it becomes apparent that such factors will affect the fees charged. Minor expenses e.g. postage and telephone calls are included in our fees. Rates are reviewed annually on 1st May in each year. New rates will only apply to work undertaken after the review date. Any new rates, or change in rates, will be communicated to you by way of letter, or other appropriate method.

## 4. Your liability for legal costs

We, as a firm, are engaged by you and you are personally responsible for the payment of our fees, regardless of any order for costs made against opponents. If your matter relates to a dispute and you are successful in your case, it is important to be aware that the other party will generally only be ordered to pay part of your costs and you will therefore have to pay the remainder. If your case is unsuccessful you will probably be required to make a contribution to your opponent's costs as well as paying all of your own. Please ask us if you would like further advice on your potential liability for your opponent's costs. There are also circumstances in which you will still have to pay all of our fees even though you have been successful, such as where your opponent is in receipt of legal aid or your opponent is bankrupt. Different rules apply to Employment Tribunals, where you are unlikely to recover any of your costs, even if successful.

## 5. Third party expenses (Disbursements)

Expenses may be incurred on your behalf; e.g. Counsel's fees, Court fees. Such expenses are made at cost and we make no profit from them. Before such expenditure is incurred, it is a requirement that you provide sufficient funds to cover these expenses. We will try to give you as much notice as possible of payments that are required.

## 6. Joint clients

Where we are instructed by more than one person (or by more than one legal person such as two or more companies), the responsibility to pay our charges will be joint and several. This means that any one joint client will be individually responsible for all the charges and other expenses due to us. It is a condition of our accepting instructions that we can be completely open with all other joint clients as to any information, which would be subject to our normal professional duty of disclosure. If our ability to meet our duty of disclosure to each joint client is restricted in any way, or if a conflict of interest arises between joint clients, we may cease to act for one or more or all of the joint clients.

## 7. Secretarial and office services

No charge is made for secretarial or typing services, but we may charge for photocopying.

## 8. Interim bills

Unless agreed otherwise, we reserve the right to render interim bills for work to date at appropriate intervals.

## 9. Time for payment

Bills are due for payment immediately upon presentation. All bills, whether interim or final, must be settled in full within one month of delivery. After one month, interest is payable on the overdue amount at the Court rate until payment in full is received.

## 10. Method of payment

Payment for our fees may be made by cash, cheque, bank transfer or by Visa or Mastercard. Payment for disbursements or any other third party payment (such as completion monies or damages) may usually

only be made by cash, cheque or bank transfer. Cash payments are subject to a £1,000 limit in any four week period.

Since we are not allowed to use the funds of one client to finance another it is essential that we have cleared funds from you for any completion monies or other payments to be made. In particular, if you are paying by cheque we will need to be in receipt of this seven working days in advance of the monies being needed by us in order that we can be sure that the payment will have cleared through the banking system in time to be used.

## 11. Professional regulation

As a firm of Solicitors we are authorised and regulated by the Solicitors Regulation Authority ('SRA') whose rules can be found on their website at [www.sra.org.uk/handbook](http://www.sra.org.uk/handbook). This firm is registered for VAT under 104911405.

## 12. Complaints

Our aim is to ensure that you are happy with the work that we carry out for you. However, if you have any issues with any aspect of our work or the amount of your costs, please let us know. Initially, you should raise your concerns with the person handling your work. If that person is unable to resolve matters, please raise it with the supervising Partner (i.e. the head of that particular team). If you are still unhappy or if your concern relates to a Partner, please write to the "Client Care Partner", Tim Cary. He will investigate personally or appoint another Partner to do so and report the outcome promptly. A copy of our Complaints Handling Procedure is available on request. You may have the right to complain to the Legal Ombudsman at the conclusion of our internal complaints process. Complaints to the Legal Ombudsman should ordinarily be made within six months of our written response to your complaint. Contact details for the Legal Ombudsman are as follows:

[www.legalombudsman.org.uk](http://www.legalombudsman.org.uk). Tel: 0300 555 0333, minicom: 0300 555 1777, email: [enquiries@legalombudsman.org.uk](mailto:enquiries@legalombudsman.org.uk), address: Legal Ombudsman, PO Box 6806, Wolverhampton WV1 9WJ.

## 13. Assessment by the Court

If your complaint relates to the amount of our costs, you may be entitled to have our charges reviewed by the Court. This process is known as "assessment" under the Solicitors Act 1974, and allows you to request that our fees are assessed by an Officer of the Court. Both parties can make representations to the Court Officer whose decision is binding. The Court charges a fee for this procedure and further costs may be incurred. The Court can order you (or us) to pay the assessment fee and any further costs incurred as a result of the assessment. You should be aware that there are strict time limits applicable to this procedure and you may therefore wish to seek independent legal advice. We may also be entitled to charge interest on any outstanding sums on any invoices that are unpaid in full or part during that time.

## 14. Personal financial planning services

We are not authorised under the Financial Services and Markets Act 2000, nor are we regulated by the Financial Conduct Authority. If, while we are acting for you, you need advice on investments, we may have to refer you to someone who is authorised to provide the necessary advice. However, we may provide certain limited investment advice services where these are closely linked to the legal work we are doing for you. This is because we are members of the Law Society of England and Wales, which is a designated professional body for the purposes of the Financial Services and Markets Act 2000.

The Solicitors Regulation Authority is the independent regulatory arm of the Law Society. The Legal Ombudsman provides an independent complaints review process for most clients of solicitors' firms. If you are unhappy with any investment advice you receive from us, you should raise your concerns with either of these bodies.

We are not authorised by the Financial Conduct Authority. However, we are included on the register maintained by the Financial Conduct Authority so that we can carry on insurance mediation activity, which is broadly the advising on, selling and administration of insurance contracts. This part of our business, including arrangements for complaints or redress if something goes wrong, is regulated by Solicitors Regulation Authority. The register can be accessed via the Financial Conduct Authority website at [www.fca.org.uk/register](http://www.fca.org.uk/register).

## 15. Interest on clients' money

For money that we hold on your behalf, we will account for all sums earned if the total amount earned exceeds £20. Below this figure, we will retain any such sums earned without accounting to you for them. We believe that this policy is fair and reasonable and it is kept under continual review in light of changing interest rates. When we are in receipt of large amounts of money we may place such funds on specific deposit, in which case you will receive all interest received. General payments of interest are made without deduction of tax, but tax is deducted at source on specific deposits. The rates of interest that we might earn on your behalf are likely to be lower than you might otherwise obtain, since we need to have instant access to all such funds.

## 16. Termination of representation

We may cease acting for you:

- if you fail to comply with any of your obligations to us;
- if we reach a stage where we advise you that your case no longer has reasonable prospects of success;
- if we feel that the trust and confidence which should exist between lawyer and client has broken down; or
- if our fees remain unpaid after one month of presentation of an interim bill.

In these circumstances, we reserve the right to cease acting for you in a particular matter, or in all matters, and to decline further instructions from you. We will only terminate our engagement after providing reasonable notice. In such circumstances, we will no longer act on your instructions and will no longer take any steps to protect or advise on your interests.

You are entitled to end your instructions to us at any time, by giving notice in writing, but we shall be entitled to keep all papers and documents while there is still money owed to us.

If you or we decide to terminate our engagement, you will be responsible to pay all outstanding fees up to that point. Our fees will be calculated on an hourly rate basis plus expenses, or by proportion of an agreed fixed fee if that is the basis on which we are acting for you.

We have a general and particular lien (right of retention) over any of your property, deeds and other papers (including electronically stored material) in or coming into our possession in respect of payments due to us. If payment remains outstanding we may, after reasonable notice to you, enforce such lien by sale or otherwise dealing with all or any part of such property or papers as we consider appropriate.

## 17. Storage of your file

On conclusion of your matter we can provide the papers to which you are entitled, subject to any right we may have to retain them if our charges have not been paid. Alternatively, we shall either place your file in secure storage or arrange for the file to be stored photographically or electronically for a period of seven years. If you require access to the file during this period we may make a nominal charge for its retrieval. After seven years the file or electronic copy of the file (as appropriate) will be destroyed unless you request otherwise and pay for storage. Some files, including those relating to ongoing trusts, may be retained for longer. Original Wills and Title Deeds are retained in secure storage facilities until they are needed, regardless

of when that might be at no charge. E-mails from and to you will generally be kept unless you request that they are deleted, following the conclusion of the matter.

## 18. Cancellation rights

If you are an individual and your matter relates to a purpose wholly or mainly outside your trade, business, craft or profession and we have entered into an "off-premises" or "distance" contract under the Consumer Contracts (Information, Cancellation and Additional Charges) Regulations 2013, you have the right to cancel your instructions to us within 14 (fourteen) days of signing the engagement letter enclosed with these Terms of Engagement, or otherwise stating your acceptance of it, without giving any reason. If we enter into an "off-premises" contract and you wish for us to commence work immediately we shall need you to confirm this specifically in writing. In any event your 14 (fourteen) day cancellation period will commence at our meeting where we supply you with a copy of these Terms of Engagement.

To exercise your right to cancel, you must inform us by making a clear statement to us of your decision to cancel, which may be either by email to [info@leathesprior.co.uk](mailto:info@leathesprior.co.uk), by telephone to 01603 610911 or by completing and returning to us the tear-off slip attached to this guide by post or by fax to this office.

To meet the deadline for cancelling this contract, you must send your communication relating to your cancellation before the cancellation period has expired. For cancellations sent by post, this may be evidenced by receipt of recorded delivery.

If you cancel these Terms of Engagement, we will reimburse to you any monies held on account. If you requested us to begin the performance of services during the cancellation period, you may be charged for those services if you then cancel your instructions within the 14 day period. You will lose the right to cancel if we have completed our services on this matter within the 14 day period before you have exercised your right to cancel.

If you have not requested us to begin the performance of services during the cancellation period, you will not incur any liability.

In any event, we will generally not start work for you until we have received a copy of the engagement letter enclosed with these Terms of Engagement. Please return this signed letter as soon as possible.

## 19. Email and other electronic communication

Email is used to facilitate communications. Whilst reasonable steps are taken to maximise security, it is the case that email is not entirely secure. We cannot accept responsibility for any failure of security associated with email, unless you expressly instruct us not to use email. You should carry out your own virus checks before opening any emails or attachments. We advise that you do not send any sensitive information via e-mail.

You warrant that if such a facility is available, any electronic signature you provide on the engagement letter and/or any document we provide to you is authentic to you and represents your agreement to that document. You also agree that at our request you will co-operate with us by providing such certification as we may ask to verify the authenticity of your electronic signature.

## 20. Data Protection

The Data Protection Act 1998 requires us to advise you that we are the data controller of your or your nominee's personal information voluntarily submitted. This could be in the course of instructing us and/or throughout the course of any transaction. It could also include any advice held on our database. Unless requested not to do so, we may, from time to time, use these details to send you information we believe may be of interest to you. We are a data processor acting on your behalf in respect of any other personal information supplied to us by you or on your behalf in the course of our relationship with you. In relation to such personal information we will act only in accordance with your instructions as to the processing of the data, take appropriate organisational and technical measures against unauthorised and

unlawful processing of the information and against accidental loss, destruction of or damage to that information.

We will only process your personal information in accordance with the terms of our Privacy Policy, available on request and online at [www.leathesprior.co.uk](http://www.leathesprior.co.uk). Our work for you may require us to give information to third parties such as expert witnesses and other professional advisors. You have a right of access under data protection legislation to the personal data that we hold about you. External firms or organisations may conduct audit or quality checks on our practice. These external firms or organisations are required to maintain confidentiality in relation to your files.

We may use your personal information for the preparation of documentation that may be uploaded to third party software for the function of electronic delivery to you for signing or other such purpose as necessary during the course of your matter. We will not use such software without taking steps to ensure that the relevant organisations meet reasonable security standards and certification requirements.

If the courts or a law enforcement body subject us to a notice, order or search warrant for information or documents relating to you, we will comply in full insofar as we are obliged to do so by law. Our obligation to comply may be limited by our obligation to you to maintain privilege, but an order from the court may override confidentiality.

We are entitled to charge you for complying with a notice, order or search warrant, at the standard hourly rates set out in your engagement letter, or such hourly rates as are reasonable in the circumstances. We may be legally prohibited from being able to discuss compliance of the notice with you.

Any personal data we receive from you for the purposes of our money laundering checks will be processed only for the purposes of preventing money laundering and terrorist financing, or as otherwise permitted by law or with your express consent.

## 21. Money laundering/Proof of Identity

The law requires lawyers, as well as banks, building societies and others, to obtain satisfactory evidence of the identity of their clients. In instructing Leathes Prior you authorise us to carry out an identity search with providers of online identity verification services. If satisfactory evidence of identity is not obtained we will not be able to act for you.

We must also take steps to satisfy ourselves as to the source of any monies that you wish us to hold on your account. If we are unable to identify the source to our satisfaction then we may not be able to continue acting for you.

Our cash handling limit is £1,000 in any four week period. Lawyers are under a professional and legal obligation to keep the affairs of their clients confidential. This obligation, however, is subject to the legal duty to disclose information to the National Crime Agency. Where a lawyer knows or suspects that a transaction on behalf of a client involves money laundering, the lawyer may be required to make a suspicious activity report. If this happens we are prohibited by law to inform you that a report has been made.

## 22. Liability

This firm maintains professional indemnity insurance in accordance with the rules of the SRA. Details of the insurers and the territorial coverage of the policy are available for inspection at our offices at 74 The Close, Norwich NR1 4DR. Except in the case of death or personal injury arising from our negligence, or as otherwise agreed by us in writing, the extent of our liability to you for negligence, any misrepresentation, or breach of contract or any implied duty at common law or for any consequential loss, damage or compensation shall not exceed £2 million.

### 23. Exclusions

We advise on the laws of England and Wales. If you do require advice on the laws of other jurisdictions we may, with your agreement, instruct local lawyers to assist in your matter on the same basis as we engage other third parties on your behalf.

We will also not provide advice on the taxation implications of your instructions unless specifically stated to the contrary in your engagement letter.

### 24. Jurisdiction

Any dispute or legal issue arising from our Terms of Engagement will be determined by the laws of England and Wales and considered exclusively by the Courts of England and Wales.

### 25. Force Majeure

Neither you or we shall be liable for any failure to perform, or delay in performing, any obligations (other than payment and indemnity obligations) if, beyond the control of either yourself or this firm, the performance of this contract becomes impossible through acts of terrorism, fuel strikes, severe weather, computer failure, power supply, industrial disputes and significant absence of personnel due to illness or injury.

## Cancellation Form

Please complete, detach and return this form to us only if you wish us to cease acting on the instructions you have provided.

If you are an individual and your matter relates to a purpose wholly or mainly outside your trade, business, craft or profession and we have entered into an "off-premises" or "distance" contract under the Consumer Contracts (Information, Cancellation and Additional Charges) Regulations 2013, you have the right to cancel your instructions to us within 14 (fourteen) days. Please use this form only if you wish to cancel your instructions to Leathes Prior to act on your behalf.

On receipt of this signed form we will cease to act on your behalf and will no longer be responsible for safeguarding your interests in this matter.

On receipt of this form you will be responsible for all fees incurred up to the point of cancellation if you previously requested us to make a start on your matter.

To Leathes Prior of 74 The Close, Norwich, NR1 4DR  
(Fax: 01603 610088 / E-mail: info@leathesprior.co.uk):

I/We hereby give notice that I/We cancel my/our contract for the supply of the following service:

Date of engagement letter and writer's reference:

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Your name: \_\_\_\_\_ Your name: \_\_\_\_\_  
(Client 1) (Client 2)

Date of signature: \_\_\_\_\_ Date of signature: \_\_\_\_\_

Any additional clients, please sign and date here and/or overleaf:

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Date received by Leathes Prior: \_\_\_\_\_